SOX Testing Reconciliation (With Sarah Patent)

Monday, November 22, 2021

**Issues detected**

* Access deprovisioning - CVS (3 days), Aetna (1 day) there was a delay with terminating people

**AD Scoping areas vs SOX Testing**

* Secure domain controllers - SOX Testing has no relationship to domain controllers.

**Is configuration based on entire policies? Account lock outs?**

* One of the things identified - AETHE domain, that domain is not configure strong and not compliant with policy - Looking to see who can access it.

**Reconciliation effort** - IA will look to include AETHE

**Noted:** SOX did not cover admin vs non admin account. They looked at high privilege review. Scope included just looking to see that someone was reviewed and making sure the access was review. Not looking at it from a lens of job responsibilities.

**Logging and Monitoring** - None

**Any other issues**

No other issues.

Testing is on-going (never end) March - Jan.

Network authentication (For the user)- Just looking at the GBO for the domains. They don't look at any other configuration around that. The domain policy impacts the users, and individual servers. Windows operating systems.

Actual domain is the scope for SOX testing, changes year in year out. Last Year Payflex. It will change for the next year.